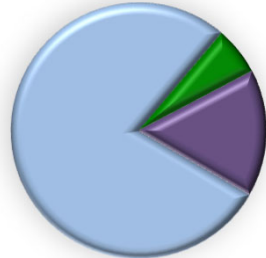


# NFL CONCUSSION SETTLEMENT

IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION  
No. 2:12-md-02323 (E.D. Pa.)

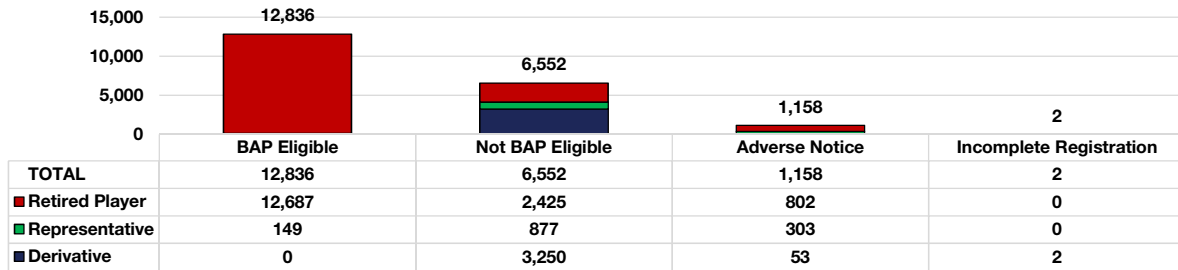
## NFL SETTLEMENT PROGRAM SUMMARY REPORT (As of 12/16/19)

### SECTION 1: TIMELY REGISTRATIONS TOTAL: 20,548 (17,243 RETIRED PLAYER AND REPRESENTATIVE CLAIMANTS)

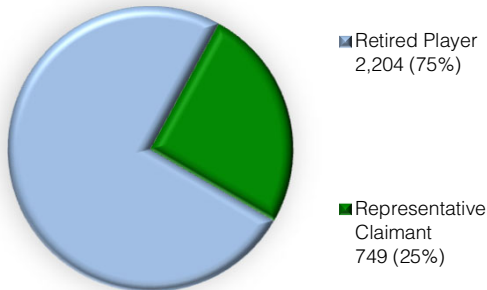


- Retired Player  
15,914 (78%)
- Representative Claimant  
1,329 (6%)
- Derivative Claimant  
3,305 (16%)

### SECTION 2: REGISTRATION NOTICES ISSUED BY TYPE TOTAL: 20,548

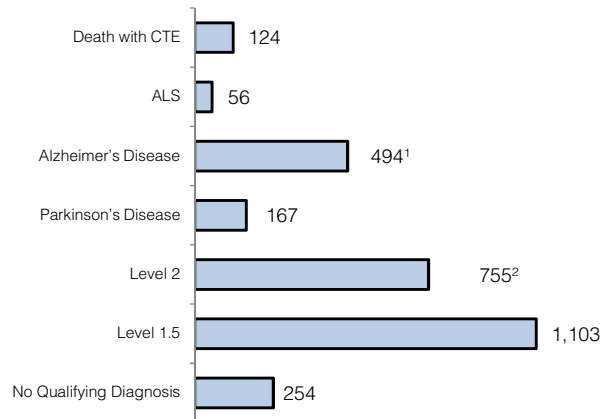


### SECTION 3: MONETARY AWARD CLAIMS SUBMITTED: 2,953<sup>1</sup>



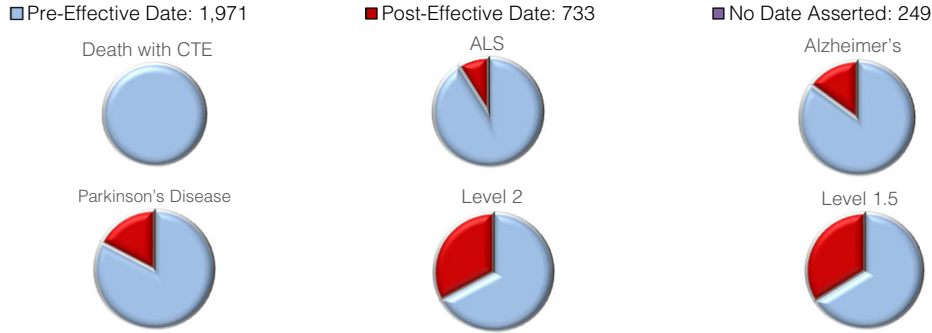
<sup>1</sup>Total includes four Supplemental Monetary Award Claims submitted by Retired Players and one from a Representative Claimant.

### SECTION 4: QUALIFYING DIAGNOSIS ASSERTED IN MONETARY AWARD CLAIMS

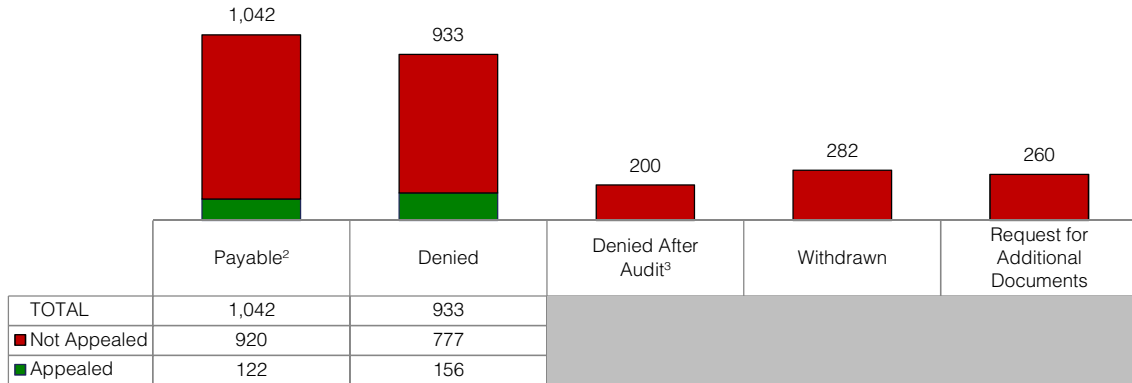


<sup>1</sup>Includes four Supplemental Monetary Award Claims.  
<sup>2</sup>Includes one Supplemental Monetary Award Claim.

## SECTION 5: QUALIFYING DIAGNOSIS DATE ASSERTED IN MONETARY AWARD CLAIMS



## SECTION 6: NOTICES ISSUED ON MONETARY AWARD CLAIMS: 2,717<sup>1</sup>

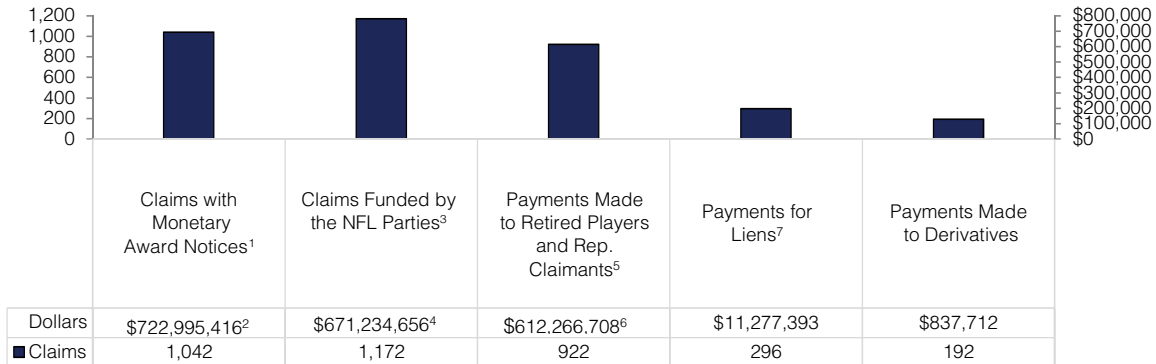


<sup>1</sup> Section 6 counts all Monetary Award claims that have received a notice after claim processing. Claims receiving multiple notices are counted only once based on the most recent notice issued. The number of appealed notices reflects only claims that have been appealed on the most recent notice issued. For a cumulative total of all appeals, see Section 9.

<sup>2</sup> Includes four payable Supplemental Monetary Award Notices.

<sup>3</sup> The results of the audit closed these claims and directed further alternatives for these Settlement Class Members.

## SECTION 7: PAYABLE CLAIMS SUMMARY



<sup>1</sup> The dollars and number of claims shown are the number of notices issued before holdbacks for potential Derivative Claimants, common benefit fees, liens and any determinations on appeals.

<sup>2</sup> Amount includes four Supplemental Monetary Awards for \$1,432,832.

<sup>3</sup> The dollars and number of claims shown include claims from Retired Players, Representative Claimants, and Derivative Claimants.

<sup>4</sup> Claims Funded by NFL Parties includes two Supplemental Monetary Claims for \$551,642 and 205 Derivative Claims for \$904,649.89

<sup>5</sup> The dollars shown include payments issued on behalf of Settlement Class Members to Third-Party Funders who have accepted rescission of prohibited assignments entered into with Settlement Class Members.

<sup>6</sup> Payments Made to Retired Players and Representative Claimants include one Supplemental Monetary Claim for \$320,830.

<sup>7</sup> The claims shown reflect the Settlement Class Members on whose behalf the Settlement Program has issued payments to resolve liens. The dollars shown reflect all payments the Settlement Program has made on behalf of Settlement Class Members to resolve liens, including (1) payments to the Lien Resolution Administrator to resolve medical liens, (2) payments to the Lien Resolution Administrator for its lien fees, and (3) payments directly to lienholders to resolve non-medical liens.

## SECTION 8

### STATUS OF MONETARY AWARD CLAIMS (BASED ON LAST NOTICE OR ACTION ON CLAIM)

		CTE	ALS	Alzheimer's Disease	Parkinson's Disease	Level 2	Level 1.5	No Qualifying Diagnosis	Total	%
1.	In Review Process at Claims Administrator	0	0	2	0	13	7	11	33	1%
2.	MAF Rule 20 Deviation Explanation Required	0	0	0	0	10	17	0	27	<1%
3.	Special Master Remanded Claim to Claims Administrator	0	0	4	0	9	7	0	20	<1%
4.	Notice Ready to Issue	0	0	9	1	30	33	2	75	3%
5.	Needs Special Master Statute of Limitations Review	3	14	25	13	5	11	0	71	2%
6.	Last Notice was for Incomplete Claim Package <sup>1</sup>	0	0	6	1	30	22	6	65	2%
7.	Ready for Review by AAP	0	0	2	1	8	11	0	22	<1%
8.	Last Notice was Award Notice <sup>2</sup>	0	0	4	2	7	13	0	26	<1%
9.	Last Notice was Denial Notice <sup>3</sup>	0	0	4	0	19	25	5	53	2%
10.	On Appeal Now <sup>4</sup>	1	0	2	1	10	10	1	25	<1%
11.	In Audit Investigation <sup>5</sup>	0	0	1	0	12	18	0	31	1%
12.	In Audit-Failure to Provide Information	0	0	0	0	0	0	0	0	0%
13.	Referred to the Special Investigator by the Special Masters	0	0	2	0	68	98	1	169	6%
14.	Adverse Audit Report with the Parties for Review	0	0	0	0	0	1	0	1	<1%
15.	Adverse Audit Report Awaiting Response from Those Audited	0	0	0	0	0	0	0	0	0%
16.	Adverse Audit Report with the Special Masters for Review	0	0	0	0	0	1	0	1	<1%
17.	Denied After Audit <sup>6</sup>	0	0	34	0	79	86	1	200	7%
18.	Final Denial	40	3	69	11	166	354	208	851	29%
19.	Withdrawn	0	0	16	3	136	108	19	282	10%
20.	Ready for Next Month's Payment List	0	0	0	0	0	1	0	1	<1%
21.	On this Month's Payment List	1	1	20	6	23	27	0	78	3%
22.	Paid <sup>7</sup>	79	38	294	128	130	253	0	922	31%
23.	<b>Total Claim Packages Submitted</b>	<b>124</b>	<b>56</b>	<b>494</b>	<b>167</b>	<b>755</b>	<b>1,103</b>	<b>254</b>	<b>2,953</b>	<b>100%</b>

1. The Program has issued notices to 1,966 incomplete claim packages, which is 69% of all Level 1.5 and Level 2 claims and 62% of all other claims.

2. For details on all claims receiving an Award Notice and the dollar values assigned, see Sections 7 and 11.

3. For details on all denied claims, including the denial reasons, see Section 10.

4. For details on all appeals filed by Settlement Class Members and the NFL parties, see Section 9.

5. The Program has audited 1,238, or 42%, of all claims, including 788 claims removed from audit and put back into the claims review process, 200 claims currently in Audit Investigation, 2 claims in Adverse Audit Reports with the Parties or Special Masters for Review or awaiting a response from those audited, 48 claims that were withdrawn while in audit, and 200 claims denied after audit.

6. The results of the audit denied these claims and directed further alternatives for these Settlement Class Members.

7. For total dollars paid to or on behalf of these Settlement Class Members, see Part 5 of Section 11.



# CONCUSSION SETTLEMENT

IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION  
No. 2:12-md-02323 (E.D. Pa.)

## SECTION 9 STATUS OF ALL APPEALS ON MONETARY AWARD CLAIMS

	Status <sup>1</sup>	Appealed by Class Member	Appealed by NFL Parties	Total
A.	Payable Claims	57	132	189
1.	Appeal Filed and in Preliminary Steps	0	0	0
2.	Appellee's Opposition Memo Not Received	2	3	5
3.	Appellee's Opposition Memo Received	1	1	2
4.	Remanded to Claims Administrator	23	5	28
5.	With Special Masters for Decision	0	0	0
6.	Result Upheld on Appeal	22	88	110
7.	Result Overturned on Appeal	5	20	25
8.	Closed or Withdrawn <sup>2</sup>	4	15	19
B.	Denied Claims	278	0	278
1.	Appeal Filed and in Preliminary Steps	5	0	5
2.	Appellee's Opposition Memo Not Received	18	0	18
3.	Appellee's Opposition Memo Received	0	0	0
4.	Remanded to Claims Administrator	103	0	103
5.	With Special Masters for Decision	5	0	5
6.	Result Upheld on Appeal	110	0	110
7.	Result Overturned on Appeal	8	0	8
8.	Closed or Withdrawn <sup>2</sup>	29	0	29
C.	TOTAL APPEALS	335	132	467

<sup>1</sup> Class Counsel has filed statements in 38 of the Appeals across multiple statuses in the Appeals Process.

<sup>2</sup> These are appeals we are no longer processing because (1) the appealing party withdrew or (2) it was closed after being in audit.

## SECTION 10

## REASONS IN NOTICES OF DENIAL ISSUED ON MONETARY AWARD CLAIMS

	Reason Claim Denied	Notices
<b>1.</b>	<b>Death with CTE Claims</b>	<b>41</b>
	(a) Claim Denied by Special Master	25
	(b) Death with CTE Denial - Death After Final Approval	9
	(c) Incomplete Claim Package	8
	(d) Complete Claim Package Not Provided	3
	(e) Medical Records Do Not Support Claimed Qualifying Diagnosis	1
	(f) Incomplete Claim Form	1
	(g) Death with CTE Denial - Death Before 7/7/14 and QD After Final Approval	1
<b>2.</b>	<b>ALS Claims</b>	<b>3</b>
	(a) Incomplete Claim Package	3
	(b) Claim Denied by Special Master	1
<b>3.</b>	<b>Alzheimer's Disease Claims</b>	<b>74</b>
	(a) Appeals Advisory Panel Denial - Qualifying Diagnosis	43
	(b) Incomplete Claim Package	17
	(c) Claim Denied by Special Master	10
	(d) Appeals Advisory Panel Denial - Inappropriate Physician	6
	(e) Medical Records Do Not Support Claimed Qualifying Diagnosis	3
	(f) No Qualifying Diagnosis	2
	(g) Complete Claim Package Not Provided	3
	(h) Duplicate of Previously-Denied Claim	2
	(i) Disqualified Physician	1
	(j) Incomplete HIPAA Form	1
<b>4.</b>	<b>Parkinson's Disease Claims</b>	<b>11</b>
	(a) Appeals Advisory Panel Denial - Qualifying Diagnosis	6
	(b) Appeals Advisory Panel Denial - Inappropriate Physician	4
	(c) Incomplete Claim Package	2
	(d) Incomplete Diagnosing Physician Certification Form	2
	(e) No Qualifying Diagnosis	1
	(f) Claim Denied by Special Master	1
<b>5.</b>	<b>Level 2 Claims</b>	<b>198</b>

## SECTION 10

## REASONS IN NOTICES OF DENIAL ISSUED ON MONETARY AWARD CLAIMS

	Reason Claim Denied	Notices
	(a) Appeals Advisory Panel Denial - Qualifying Diagnosis	89
	(b) Claim Denied by Special Master	33
	(c) Incomplete Claim Package	21
	(d) Complete Claim Package Not Provided	17
	(e) Disqualified Physician	17
	(f) Appeals Advisory Panel Denial - MAF Oversight	18
	(g) Medical Records Do Not Support Claimed Qualifying Diagnosis	13
	(h) Incomplete Diagnosing Physician Certification Form	9
	(i) Appeals Advisory Panel Denial - Inappropriate Physician	7
	(j) Incomplete Claim Form	7
	(k) No Qualifying Diagnosis	6
	(l) Appeals Advisory Panel Denial - Special Assignment	1
	(m) BAP Diagnosis of No Neurocognitive Impairment	1
	(n) Disqualified Neuropsychologist	1
<b>6.</b>	<b>Level 1.5 Claims</b>	<b>392</b>
	(a) Appeals Advisory Panel Denial - Qualifying Diagnosis	212
	(b) Medical Records Do Not Support Claimed Qualifying Diagnosis	67
	(c) Claim Denied by Special Master	52
	(d) Incomplete Claim Package	35
	(e) Complete Claim Package Not Provided	33
	(f) Appeals Advisory Panel Denial - Inappropriate Physician	18
	(g) No Qualifying Diagnosis	20
	(h) Appeals Advisory Panel Denial - MAF Oversight	21
	(i) Incomplete Diagnosing Physician Certification Form	12
	(j) Disqualified Physician	11
	(k) Incomplete Claim Form	3
	(l) Appeals Advisory Panel Denial - Special Assignment	4
	(m) Disqualified Neuropsychologist	2
<b>7.</b>	<b>No Qualifying Diagnosis - Complete Claim Package Not Provided</b>	<b>214</b>
	(a) Incomplete Claim Package	338



# CONCUSSION SETTLEMENT

IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION  
No. 2:12-md-02323 (E.D. Pa.)

## SECTION 10

## REASONS IN NOTICES OF DENIAL ISSUED ON MONETARY AWARD CLAIMS

	Reason Claim Denied	Notices
	(b) Incomplete Claim Form	256
	(c) Complete Claim Package Not Provided	23
	(d) Incomplete Diagnosing Physician Certification Form	12
	(e) Medical Records Do Not Support Claimed Qualifying Diagnosis	10
	(f) Not Registered	7
	(g) Claim Denied by Special Master	6
	(h) BAP Diagnosis of No Neurocognitive Impairment	4
	(i) Incomplete HIPAA Form	2
	(j) Death with CTE Denial - Death After Final Approval	2
	(k) Untimely Claim Package	3
	(l) No Qualifying Diagnosis	1
8.	<b>TOTAL NUMBER OF SETTLEMENT CLASS MEMBERS RECEIVING A DENIAL NOTICE</b>	<b>933</b>

## SECTION 11

## MONETARY AWARD PAYMENTS

	Status by Confirmed Qualifying Diagnosis	Number	Amount
1.	<b>Notices of Monetary Award (Dollars Shown are Before Holdbacks)</b>	<b>1,042</b>	<b>\$722,995,416</b>
	(a) Death with CTE	80	\$93,248,944
	(b) ALS	39	\$98,327,542
	(c) Alzheimer's Disease <sup>1</sup>	320	\$141,741,088
	(d) Parkinson's Disease	137	\$91,148,936
	(e) Level 2.0 Neurocognitive Impairment <sup>2</sup>	163	\$131,719,794
	(f) Level 1.5 Neurocognitive Impairment	303	\$166,809,113
2.	<b>Not Ready to be Included on the Next Monthly Payment List; or a Claim Hold is in Place (Dollars Shown are Before Holdbacks)</b>	<b>41</b>	<b>\$37,442,570</b>
	(a) Death with CTE	0	\$0
	(b) ALS	0	\$0
	(c) Alzheimer's Disease	6	\$624,108
	(d) Parkinson's Disease	3	\$6,141,822
	(e) Level 2.0 Neurocognitive Impairment	10	\$15,382,980
	(f) Level 1.5 Neurocognitive Impairment	22	\$15,293,660
3.	<b>Ready to be Included on the Next Monthly Payment List (Dollars Shown are Before Holdbacks)</b>	<b>1</b>	<b>\$1,560,140</b>
	(a) Death with CTE	0	\$0
	(b) ALS	0	\$0
	(c) Alzheimer's Disease	0	\$0
	(d) Parkinson's Disease	0	\$0
	(e) Level 2.0 Neurocognitive Impairment	0	\$0
	(f) Level 1.5 Neurocognitive Impairment	1	\$1,560,140
4.	<b>Claims in the Payment Process (Dollars Shown are After Holdbacks)</b>	<b>78</b>	<b>\$37,367,599</b>
	(a) Death with CTE	1	\$10,401
	(b) ALS	1	\$72,807
	(c) Alzheimer's Disease <sup>3</sup>	20	\$4,597,579
	(d) Parkinson's Disease	6	\$3,144,489
	(e) Level 2.0 Neurocognitive Impairment <sup>4</sup>	23	\$11,866,080
	(f) Level 1.5 Neurocognitive Impairment	27	\$17,676,244
5.	<b>Paid Claims/Paid to or on Behalf of Class Members or to Lien Holders<sup>5</sup></b>	<b>1,114</b>	<b>\$613,104,420</b>
	(a) Death with CTE	79	\$89,158,818
	(b) ALS	38	\$93,128,644
	(c) Alzheimer's Disease <sup>5</sup>	294	\$129,776,802
	(d) Parkinson's Disease	128	\$77,818,817
	(e) Level 2.0 Neurocognitive Impairment	130	\$98,051,687
	(f) Level 1.5 Neurocognitive Impairment	253	\$124,331,940
	(g) Derivative Claimants	192	\$837,712

<sup>1</sup> The claims and dollars shown include three Supplemental Monetary Awards for \$1,216,909.

<sup>2</sup> The claims and dollars shown include one Supplemental Monetary Award for \$215,923.

<sup>3</sup> The claims and dollars shown include two Alzheimer's Disease Supplemental Monetary Award for \$503,405.

<sup>4</sup> The claims and dollars shown include one Level 2.0 Supplemental Monetary Award for \$215,923.

<sup>5</sup> The dollars shown reflect payments issued to Settlement Class Members and on their behalf after all applicable deductions (e.g., Common Benefits Fees, lien holdbacks, potential rescission amounts for Third-Party Funders, etc.).

<sup>6</sup> One Alzheimer's Disease Supplemental Monetary Award received a payment of \$320,830.