

IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION
No. 2:12-md-02323 (E.D. Pa.)

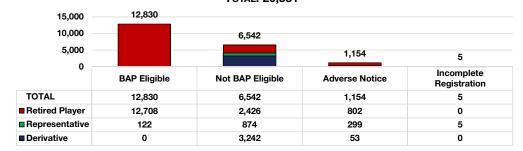
### NFL SETTLEMENT PROGRAM SUMMARY REPORT (AS OF 2/25/19)

SECTION 1: TIMELY REGISTRATIONS
TOTAL: 20,531



- Retired Player 15,936 (78%)
- Representative Claimant 1,300 (6%)
- Derivative Claimant 3,295 (16%)

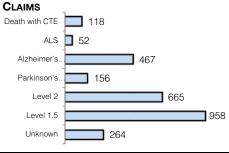
Section 2: Registration Notices Issued by Type Total: 20,531



### SECTION 3: MONETARY AWARD CLAIMS SUBMITTED: 2,680



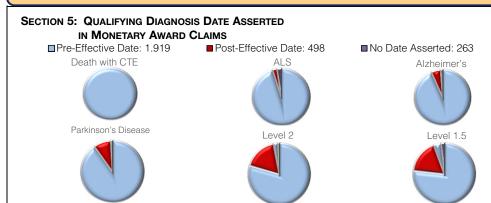
#### SECTION 4: QUALIFYING DIAGNOSIS ASSERTED IN MONETARY AWARD

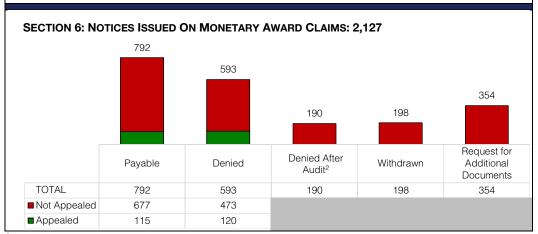


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#### **CONCUSSION SETTLEMENT** IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION

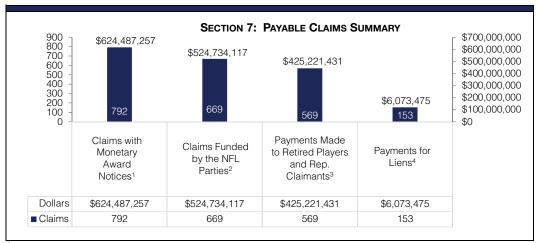
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Section 6 counts all Monetary Award claims that have received a notice after claim processing. Claims receiving multiple notices are counted only once based on the most recent notice issued. The number of appealed notices reflects only claims that have been appealed on the most recent notice issued. For a cumulative total of all appeals, see Section 9.

<sup>&</sup>lt;sup>2</sup> The results of the audit closed these claims and directed further alternatives for these Settlement Class Members.



<sup>&</sup>lt;sup>1</sup> The dollars and number of claims shown are the number of notices issued before holdbacks for potential Derivative Claimants, common benefit fees, liens and any determinations on appeals.

<sup>&</sup>lt;sup>2</sup> The dollars and number of claims shown include claims from Retired Players and Representative Claimants.

<sup>&</sup>lt;sup>3</sup> The dollars shown include payments issued on behalf of Settlement Class Members to Third-Party Funders who have accepted rescission of prohibited assignments entered into with Settlement Class Members.

<sup>&</sup>lt;sup>4</sup>The claims shown reflect the Settlement Class Members on whose behalf the Settlement Program has issued payments to resolve liens. The dollars shown reflect all payments the Settlement Program has made on behalf of Settlement Class Members to resolve liens, including (1) payments to the Lien Resolution Administrator to resolve medical liens, (2) payments to the Lien Resolution Administrator for its lien fees, and (3) payments directly to lienholders to resolve non-medical liens.



## CONCUSSION SETTLEMENT IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION

No. 2:12-md-02323 (E.D. Pa.)

Si	SECTION 8 STATUS OF MONETARY AWARD CLAIMS (BASED ON LAST NOTICE OR ACTION ON CLAIM)										
			CTE	ALS	Alzheimer's Disease	Parkinson's Disease	Level 2	Level 1.5	Unknown Qualifying Diagnosis	Total	%
1.	In Review P Administrate	rocess at Claims or	6	0	45	4	34	59	33	181	7%
2.	Notice Will I	ssue Tomorrow	2	0	7	4	21	32	3	69	3%
3.	Limitations I		3	10	19	6	2	8	0	48	2%
4.	Last Notice Claim Packa	was for Incomplete	21	4	25	12	29	49	112	252	9%
5.	Ready for R	eview by AAP	2	0	6	4	11	18	0	41	2%
6.	Last Notice	was Award Notice <sup>2</sup>	1	1	13	6	16	19	0	56	2%
7.	Last Notice	was Denial Notice <sup>3</sup>	2	0	1	0	6	17	1	27	1%
8.	On Appeal I	Now <sup>4</sup>	0	0	4	0	5	7	0	16	1%
9.	In Audit Inve		0	0	3	2	42	30	2	79	3%
10.	Information	ure to Provide	0	0	1	0	40	71	0	112	4%
11.	Adverse Au Parties for F	dit Report with the Review	0	0	0	0	2	2	0	4	<1%
12.	Response fr	dit Report Awaiting om Those Audited	0	0	0	0	0	0	0	0	0%
13.		dit Report with the sters for Review	0	0	4	0	97	113	0	214	8%
14.	Denied Afte	r Audit <sup>6</sup>	0	0	35	0	74	81	0	190	7%
15.	Final Denial		9	2	54	9	108	221	101	504	19%
16.	Withdrawn		0	0	13	2	91	80	12	198	7%
17.	Ready for N List	ext Month's Payment	0	0	3	0	2	11	0	16	1%
18.	On this Mon	th's Payment List	0	2	31	14	21	36	0	104	4%
19.	Paid <sup>7</sup>		72	33	203	93	64	104	0	569	21%
20.		Claim Packages	118	52	467	156	665	958	264	2,680	100%

<sup>1.</sup> The Program has issued notices to 1,618 incomplete claim packages, which is 64% of all Level 1.5 and Level 2 claims and 60% of all other

- 2. For details on all claims receiving an Award Notice and the dollar values assigned, see Sections 7 and 11.
- 3. For details on all denied claims, including the denial reasons, see Section 10.
- 4. For details on all appeals filed by Settlement Class Members and the NFL parties, see Section 9.

- 6. The results of the audit denied these claims and directed further alternatives for these Settlement Class Members.
- 7. For total dollars paid to or on behalf of these Settlement Class Members, see Part 5 of Section 11.

<sup>5.</sup> The Program has audited 1,186, or 45%, of all claims, including 587 claims removed from audit and put back into the claims review process, 191 claims currently in Audit Investigation, 218 claims on Adverse Audit Reports with the Parties or Special Masters for Review or awaiting a response from those audited and 190 claims denied after audit.



## CONCUSSION SETTLEMENT IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION No. 2:12-md-02323 (E.D. Pa.)

SECT	Appealed by Appealed by NEL			
	Status <sup>1</sup>	Appealed by Class Member	Appealed by NFL Parties	Total
Α.	Payable Claims	32	112	144
1.	Appeal Filed and in Preliminary Steps	1	6	7
2.	Appellee's Opposition Memo Not Received	2	3	5
3.	Appellee's Opposition Memo Received	0	15	15
4.	Remanded to Claims Administrator	7	1	8
5.	With Special Masters for Decision	0	2	2
6.	Result Upheld on Appeal	16	65	81
7.	Result Overturned on Appeal	2	7	9
8.	Closed or Withdrawn <sup>2</sup>	4	13	17
B.	Denied Claims	186	0	186
1.	Appeal Filed and in Preliminary Steps	31	0	31
2.	Appellee's Opposition Memo Not Received	17	0	17
3.	Appellee's Opposition Memo Received	13	0	13
4.	Remanded to Claims Administrator	42	0	42
5.	With Special Masters for Decision	7	0	7
6.	Result Upheld on Appeal	65	0	65
7.	Result Overturned on Appeal	5	0	5
8.	Closed or Withdrawn <sup>2</sup>	6	0	6
C.	TOTAL APPEALS	218	112	330

<sup>&</sup>lt;sup>1</sup> Co-Lead Class Counsel has filed statements in 33 of the Appeals across multiple statuses in the Appeals Process.

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<sup>&</sup>lt;sup>2</sup> These are appeals we are no longer processing because (1) the appealing party withdrew or (2) it was closed after being in audit.



SECT	TION 10 REASONS IN NOTICES OF DENIAL ISSUED ON MONETARY A	
	Reason Claim Denied	Notices
1.	Death with CTE Claims	11
	(a) Death Occurred After Final Approval	4
	(b) Death Occurred Before 7/7/14 but Qualifying Diagnosis Was After Final Approval	2
	(c) Death Between 7/7/14 & 4/22/15; Qualifying Diagnosis was greater than 270 Days From Death	1
	(d) Appeals Advisory Panel Denial - Diagnosis Made by Inappropriate Physician	0
	(e) Appeals Advisory Panel Denial - Not Death with CTE	0
	(f) Complete Claim Package Never Provided by Class Member	3
2.	ALS Claims	2
	Complete Claim Package Never Provided by Class Member	1
3.	Alzheimer's Disease Claims	61
	(a) Appeals Advisory Panel Denial - Diagnosis Made by Inappropriate Physician	8
	(b) Appeals Advisory Panel Denial - Not Alzheimer's Disease	46
	(c) Physician Not Qualified to Sign Diagnosis Physician Certification Form	1
	(d) Complete Claim Package Never Provided by Class Member	9
4.	Parkinson's Disease Claims	9
	(a) Appeals Advisory Panel Denial - Diagnosis Made by Inappropriate Physician	4
	(b) Appeals Advisory Panel Denial - Not Parkinson's Disease	7
	(c) Complete Claim Package Never Provided by Class Member	0
5.	Level 2 Claims	141
	(a) Appeals Advisory Panel Denial - Diagnosis Made by Inappropriate Physician	9
	(b) Appeals Advisory Panel Denial - Not Level 2	95
	(c) Physician Not Qualified to Sign Diagnosis Physician Certification Form	17
	(d) Complete Claim Package Never Provided by Class Member	22
6.	Level 1.5 Claims	267
	(a) Appeals Advisory Panel Denial - Diagnsosis Made by Inappropriate Physician	19
	(b) Appeals Advisory Panel Denial - Not Level 1.5	185
	(c) Physician Not Qualified to Sign Diagnosis Physician Certification Form	13
	(d) Complete Claim Package Never Provided by Class Member	64
7.	Unconfirmed Qualifying Diagnosis - Complete Claim Package Not Provided	103
8.	TOTAL DENIAL REASONS PROVIDED ON NOTICES	594
9.	TOTAL NUMBER OF SETTLEMENT CLASS MEMBERS RECEIVING A DENIAL NOTICE	570



# CONCUSSION SETTLEMENT IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION No. 2:12-md-02323 (E.D. Pa.)

SECTION 11 MONETARY AWARD PAYMENTS				
	Status by Confirmed Qualifying Diagnosis	Number	Amount	
1.	Notices of Monetary Award (Dollars Shown are Before Holdbacks)	792	\$624,487,257	
	(a) Death with CTE	73	\$89,023,392	
	(b) ALS	36	\$94,026,756	
	(c) Alzheimer's Disease	254	\$119,836,213	
	(d) Parkinson's Disease	114	\$72,113,664	
	(e) Level 2.0 Neurocognitive Impairment	123	\$140,795,583	
	(f) Level 1.5 Neurocognitive Impairment	192	\$108,691,650	
2.	Not Ready to be Included on the Next Monthly Payment List; or a Claim Hold is in Place (Dollars Shown are Before Holdbacks)	103	\$113,822,300	
	(a) Death with CTE	1	\$17,578	
	(b) ALS	1	\$1,560,140	
	(c) Alzheimer's Disease	17	\$11,588,865	
	(d) Parkinson's Disease	7	\$4,304,691	
	(e) Level 2.0 Neurocognitive Impairment	36	\$66,123,256	
	(f) Level 1.5 Neurocognitive Impairment	41	\$30,227,771	
3.	Ready to be Included on the Next Monthly Payment List (Dollars Shown are Before Holdbacks)	16	\$10,025,245	
	(a) Death with CTE	0	\$0	
	(b) ALS	0	\$0	
	(c) Alzheimer's Disease	3	\$894,595	
	(d) Parkinson's Disease	0	\$0	
	(e) Level 2.0 Neurocognitive Impairment	2	\$2,716,134	
	(f) Level 1.5 Neurocognitive Impairment	11	\$6,414,517	
4.	Claims in the Payment Process (Dollars Shown are After Holdbacks)	104	\$43,777,592	
	(a) Death with CTE	0	\$0	
	(b) ALS	2	\$2,592,578	
	(c) Alzheimer's Disease	31	\$13,936,587	
	(d) Parkinson's Disease	14	\$2,938,800	
	(e) Level 2.0 Neurocognitive Impairment	21	\$10,258,342	
	(f) Level 1.5 Neurocognitive Impairment	36	\$14,051,285	
5.	Paid Claims/Paid to or on Behalf of Class Members or to Lien Holders <sup>1</sup>	707	\$425,904,905	
	(a) Death with CTE	72	\$85,124,980	
	(b) ALS	33	\$83,612,301	
	(c) Alzheimer's Disease	203	\$87,155,914	
	(d) Parkinson's Disease	93	\$61,742,182	
	` '			
	(e) Level 2.0 Neurocognitive Impairment	64	\$55,593,343	
	(f) Level 1.5 Neurocognitive Impairment	104	\$51,992,713	
	(g) Derivative Claimants	138	\$683,473	

<sup>&</sup>lt;sup>1</sup> The dollars shown reflect payments issued to Settlement Class Members and on their behalf after all applicable deductions (e.g., Common Benefits  $\label{prop:eq:control} \textit{Fees, lien holdbacks, potential rescission amounts for Third-Party Funders, etc.)}.$