

15,000 10,000

5,000

TOTAL

■ Derivative

■ Retired Player

■ Representative

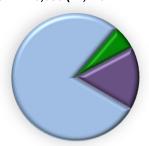
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CONCUSSION SETTLEMENT IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION

NFL SETTLEMENT PROGRAM SUMMARY REPORT (As of 2/28/22)

SECTION 1: TIMELY REGISTRATIONS TOTAL: 20,565 (17,245 RETIRED PLAYER AND REPRESENTATIVE CLAIMANTS)

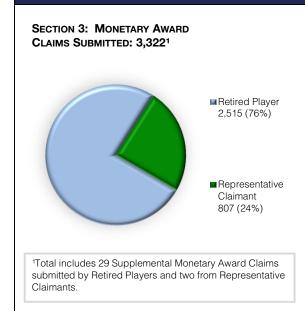
3,263



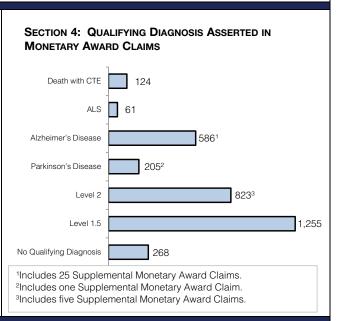
- Retired Player 15,863 (77%)
- Representative Claimant 1,382 (7%)
- **Derivative Claimant** 3,320 (16%)

SECTION 2: REGISTRATION NOTICES ISSUED BY TYPE TOTAL: 20,565 12,838 6,565 1,161 1 **BAP Eligible** Not BAP Eligible Adverse Notice Incomplete Registration 12,838 6,565 1,161 12,639 2,422 802 0 199 880 303 0

56



0

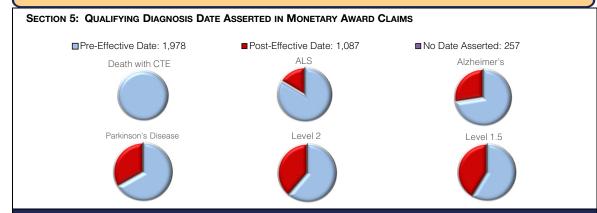


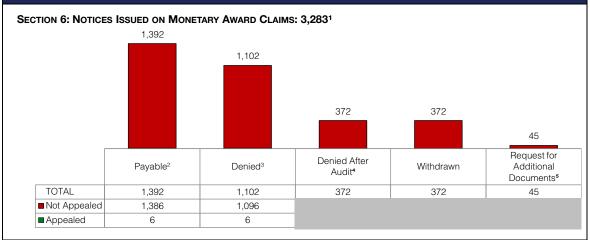
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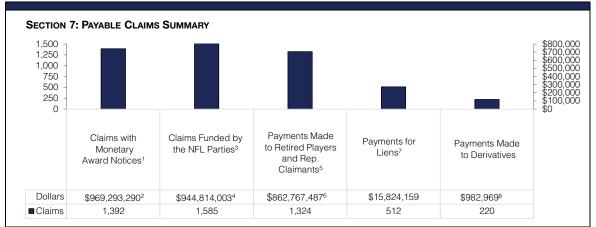
CONCUSSION SETTLEMENT





1 Section 6 counts all Monetary Award claims that have received a notice after claim processing. Claims receiving multiple notices are counted only once based on the most recent notice issued. The number of appealed notices reflects only claims that have been appealed on the most recent notice issued. For a cumulative total of all appeals, see Section 9.

⁵ Includes three Notices of Supplemental Request for Additional Documents



¹ The dollars and number of claims shown are the number of notices issued before holdbacks for potential Derivative Claimants, common benefit fees, liens and any determinations on appeals.

² Includes 23 payable Supplemental Monetary Award Notices.

³ Includes four denied Supplemental Monetary Award Notices. ⁴ The results of the audit closed these claims and directed further alternatives for these Settlement Class Members

² Amount includes 23 Supplemental Monetary Awards for \$7,189,493.

³ The dollars and number of claims shown include claims from Retired Players, Representative Claimants, and Derivative Claimants.

⁴ Claims Funded by NFL Parties includes 21 Supplemental Monetary Claims for \$4,739,869 and 222 Derivative Claims for \$1,046,257.

⁵ The dollars shown include payments issued on behalf of Settlement Class Members to Third-Party Funders who have accepted rescission of prohibited assignments entered into with Settlement Class Members.

⁶ Payments Made to Retired Players and Representative Claimants include 13 Supplemental Monetary Claims for \$1,572,095.

⁷ The claims shown reflect the Settlement Class Members on whose behalf the Settlement Program has issued payments to resolve liens. The dollars shown reflect all payments the Settlement Program has made on behalf of Settlement Class Members to resolve liens, including (1) payments to the Lien Resolution Administrator to resolve medical liens, (2) payments to the Lien Resolution Administrator for its lien fees, and (3) payments directly to lienholders to resolve non-medical liens.

⁸ The dollars shown include Supplemental Derivative Claimant Award payments.



Sı	ECTION 8	STATUS OF MONETARY AWARD CLAIMS (BASED ON LAST NOTICE OR ACTION ON CLAIM)								
		CTE	ALS	Alzheimer's Disease	Parkinson's Disease	Level 2	Level 1.5	No Qualifying Diagnosis	Total	%
1.	In Review Process at Claims Administrator	0	0	18	0	7	12	3	40	1%
2.	MAF Rule 20 Deviation Explanation Required	0	0	0	0	3	1	0	4	<1%
3.	Special Master Remanded Claim to Claims Administrator	0	0	2	0	1	0	0	3	<1%
4.	Notice Ready to Issue	0	0	2	1	3	10	0	16	<1%
5.	Last Notice was for Incomplete Claim Package ¹	0	0	1	0	2	3	1	7	<1%
6.	Ready for Review by AAP	0	0	3	1	0	3	0	7	<1%
7.	Last Notice was Award Notice ²	0	0	2	3	3	8	0	16	<1%
8.	Last Notice was Denial Notice ³	0	0	0	0	3	1	0	4	<1%
9.	On Appeal Now ⁴	0	0	1	0	4	5	2	12	<1%
10.	In Audit Investigation ⁵	0	0	6	0	4	1	0	11	<1%
11.	In Audit-Failure to Provide Information	0	0	0	0	0	0	0	0	0%
12.	Referred to the Special Investigator by the Special Masters	0	0	0	0	0	0	0	0	0%
13.	Adverse Audit Report with the Parties for Review	0	0	0	0	0	0	0	0	0%
14.	Adverse Audit Report Awaiting Response from Those Audited	0	0	0	0	0	0	0	0	0%
15.	Adverse Audit Report with the Special Masters for Review	0	0	0	0	0	0	0	0	0%

38

80

43

0

14

376

586

0

11

16

1

5

167

205

150

255

146

1

11

230

823

182

463

128

1

10

427

1,255

2

238

22

0

0

0

268

372

1,091

372

3

40

1.324

3,322

11%

33%

<1%

1%

40%

100%

0

44

61 124

0 0

41 3

3 14

0

0 0

80

16.

17.

18.

19.

20.

21. Paid⁷

22.

Denied After Audit⁶

Ready for Next Month's Payment

Total Claim Packages

Submitted

On this Month's Payment List

Final Denial

Withdrawn

List

^{1.} The Program has issued notices to 2,199 incomplete claim packages, which is 70% of all Level 1.5 and Level 2 claims and 60% of all other claims.

^{2.} For details on all claims receiving an Award Notice and the dollar values assigned, see Sections 7 and 11.

^{3.} For details on all denied claims, including the denial reasons, see Section 10.

^{4.} For details on all appeals filed by Settlement Class Members and the NFL parties, see Section 9.

^{5.} The Program has audited 1,288, or 39%, of all claims, including 699 claims removed from audit and put back into the claims review process,

¹¹ claims currently in Audit Investigation, 206 claims that were withdrawn while in audit, and 372 claims denied after audit.

^{6.} The results of the audit denied these claims and directed further alternatives for these Settlement Class Members.

^{7.} For total dollars paid to or on behalf of these Settlement Class Members, see Part 5 of Section 11.



SECTION 9 STATUS OF ALL APPEALS ON MONETARY AWARD CLAIMS						
	Status ¹	Appealed by Class Member	Appealed by NFL Parties	Total		
A.	Payable Claims	75	146	221		
1.	Appeal Filed and in Preliminary Steps	0	0	0		
2.	Appellee's Opposition Memo Not Received	1	0	1		
3.	Appellee's Opposition Memo Received	0	0	0		
4.	Remanded to Claims Administrator	32	9	41		
5.	With Special Masters for Decision	1	4	5		
6.	Result Upheld on Appeal	29	91	120		
7.	Result Overturned on Appeal	6	24	30		
8.	Closed or Withdrawn ²	6	18	24		
B.	Denied Claims	400	0	400		
1.	Appeal Filed and in Preliminary Steps	1	0	1		
2.	Appellee's Opposition Memo Not Received	2	0	2		
3.	Appellee's Opposition Memo Received	0	0	0		
4.	Remanded to Claims Administrator	140	0	140		
5.	With Special Masters for Decision	3	0	3		
6.	Result Upheld on Appeal	185	0	185		
7.	Result Overturned on Appeal	10	0	10		
8.	Closed or Withdrawn ²	59	0	59		
C.	TOTAL APPEALS	475	146	621		

¹ Class Counsel has filed statements in 54 of the Appeals across multiple statuses in the Appeals Process.

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² These are appeals we are no longer processing because (1) the appealing party withdrew or (2) it was closed after being in audit.



;	Reasons in Notices of Denial Issued on Monetary Av	
	Reason	Notices*
1.	Death with CTE Claims	41
	(a) Claim Denied by Special Master	26
	(b) Death with CTE Denial - Death After Final Approval	8
	(c) Incomplete Claim Package	6
	(d) Complete Claim Package Not Provided	3
	(e) Medical Records Do Not Support Claimed Qualifying Diagnosis	1
	(f) Death with CTE Denial - Death Before 7/7/14 and QD After Final Approval	1
2.	ALS Claims	3
	(a) Incomplete Claim Package	3
	(b) Claim Denied by Special Master	1
3.	Alzheimer's Disease Claims	80
	(a) Appeals Advisory Panel Denial - Qualifying Diagnosis	41
	(b) Incomplete Claim Package	17
	(c) Claim Denied by Special Master	11
	(d) Complete Claim Package Not Provided	7
	(e) Appeals Advisory Panel Denial - Inappropriate Physician	6
	(f) Medical Records Do Not Support Claimed Qualifying Diagnosis	3
	(f) Duplicate of Previous Claim	3
	(g) Appeals Advisory Panel Denial - MAF Oversight	3
	(i) No Qualifying Diagnosis	2
	(j) Disqualified Physician	1
	(k) Incomplete HIPAA Form	1
	(I) Diagnosis Not Later In Time	1
4.	Parkinson's Disease Claims	11
	(a) Appeals Advisory Panel Denial - Qualifying Diagnosis	6
	(b) Appeals Advisory Panel Denial - Inappropriate Physician	4
	(c) Incomplete Diagnosing Physician Certification Form	2
	(d) No Qualifying Diagnosis	1



	Section 10 Reasons in Notices of Denial Issued on Monetary A	
	Reason	Notices*
	(e) Claim Denied by Special Master	1
	(f) Appeals Advisory Panel Denial - MAF Oversight	1
5.	Level 2 Claims	260
	(a) Appeals Advisory Panel Denial - Qualifying Diagnosis	87
	(b) Claim Denied by Special Master	70
	(c) Appeals Advisory Panel Denial - MAF Oversight	34
	(d) Incomplete Claim Package	24
	(e) Complete Claim Package Not Provided	19
	(f) Disqualified Physician	17
	(g) Medical Records Do Not Support Claimed Qualifying Diagnosis	10
	(h) Appeals Advisory Panel Denial - Inappropriate Physician	10
	(i) Incomplete Diagnosing Physician Certification Form	9
	(j) Incomplete Claim Form	7
	(k) Appeals Advisory Panel Denial - Special Assignment	6
	(I) No Qualifying Diagnosis	6
	(m) BAP Diagnosis of No Neurocognitive Impairment	1
	(n) Disqualified Neuropsychologist	1
6.	Level 1.5 Claims	467
	(a) Appeals Advisory Panel Denial - Qualifying Diagnosis	223
	(b) Claim Denied by Special Master	89
	(c) Medical Records Do Not Support Claimed Qualifying Diagnosis	67
	(d) Appeals Advisory Panel Denial - MAF Oversight	43
	(e) Complete Claim Package Not Provided	36
	(f) Incomplete Claim Package	35
	(g) Appeals Advisory Panel Denial - Inappropriate Physician	26
	(h) No Qualifying Diagnosis	19
	(i) Incomplete Diagnosing Physician Certification Form	11
	(j) Disqualified Physician	11
	(k) Appeals Advisory Panel Denial - Special Assignment	8



	Section 10 Reasons in Notices of Denial Issued on Reason	Notices*
	(I) Incomplete Claim Form	Notices
	(m) Disqualified Neuropsychologist	3
7.	No Qualifying Diagnosis - Complete Claim Package Not Provided	240
	(a) Incomplete Claim Package	349
	(b) Incomplete Claim Form	273
	(c) Complete Claim Package Not Provided	27
	(d) Incomplete Diagnosing Physician Certification Form	12
	(e) BAP Diagnosis of No Neurocognitive Impairment	12
	(f) Medical Records Do Not Support Claimed Qualifying Diagnosis	10
	(g) Claim Denied by Special Master	8
	(h) Not Registered	7
	(i) No Qualifying Diagnosis	5
	(j) Untimely Claim Package	5
	(k) Incomplete HIPAA Form	2
	(I) Death with CTE Denial - Death After Final Approval	2
	(m) Deficiency Denial	2
	(n) Duplicate of Previous Claim	1
8.	TOTALS	1,102



SE	SECTION 11 MONETARY AWARD PAYMENTS					
	Status by Confirmed Qualifying Diagnosis	Number	Amount			
1.	Notices of Monetary Award (Dollars Shown are Before Holdbacks)	1,392	\$969,293,290			
	(a) Death with CTE	80	\$93,248,944			
	(b) ALS	44	\$108,041,854			
	(c) Alzheimer's Disease ¹	395	\$185,970,283			
	(d) Parkinson's Disease ²	176	\$145,136,484			
	(e) Level 2.0 Neurocognitive Impairment ³	247	\$184,494,002			
	(f) Level 1.5 Neurocognitive Impairment	450	\$252,401,724			
2.	Not Ready to be Included on the Next Monthly Payment List; or a Claim Hold is in Place (Dollars Shown are Before Holdbacks)	25	\$22,029,229			
	(a) Death with CTE	0	\$0			
	(b) ALS	0	\$0			
	(c) Alzheimer's Disease ⁴	5	\$2,925,851			
	(d) Parkinson's Disease	3	\$7,643,874			
	(e) Level 2.0 Neurocognitive Impairment	5	\$2,281,462			
	(f) Level 1.5 Neurocognitive Impairment	12	\$9,178,042			
3.	Ready to be Included on the Next Monthly Payment List (Dollars Shown are Before Holdbacks)	3	\$5,523,398			
	(a) Death with CTE	0	\$0			
	(b) ALS	0	\$0			
	(c) Alzheimer's Disease	0	\$0			
	(d) Parkinson's Disease	1	\$2,731,535			
	(e) Level 2.0 Neurocognitive Impairment	1	\$2,005,337			
	(f) Level 1.5 Neurocognitive Impairment	1	\$786,526			
4.	Claims in the Payment Process (Dollars Shown are After Holdbacks)	40	\$15,619,019			
	(a) Death with CTE	0	\$0			
	(b) ALS	0	\$0			
	(c) Alzheimer's Disease ⁵	14	\$5,838,107			
	(d) Parkinson's Disease ⁶	5	\$7,028,302			
	(e) Level 2.0 Neurocognitive Impairment	11	\$770,950			
	(f) Level 1.5 Neurocognitive Impairment	10	\$1,981,661			
5.	Paid Claims/Paid to or on Behalf of Class Members or to Lien Holders ⁷	1,544	\$863,750,457			
	(a) Death with CTE	80	\$89,168,699			
	(b) ALS	44	\$102,637,497			
	(c) Alzheimer's Disease ⁸	376	\$166,022,643			
	(d) Parkinson's Disease	167	\$113,123,841			
	(e) Level 2.0 Neurocognitive Impairment ⁹	230	\$167,682,646			
	(f) Level 1.5 Neurocognitive Impairment	427	\$224,132,161			
	(g) Derivative Claimants ¹⁰	220	\$982,969			

¹ The claims and dollars shown include 18 Supplemental Monetary Awards for \$5,541,900.

 $^{^{2}}$ The claims and dollars shown include one Supplemental Monetary Award for \$1,337,042.

³ The claims and dollars shown include four Supplemental Monetary Awards for \$310,551.

 $^{^{4}}$ The claims and dollars shown include one Supplemental Monetary Award for \$301,095.

 $^{^{\}rm 5}$ The claims and dollars shown include eight Supplemental Monetary Awards for \$3,493,219.

⁶ The claims and dollars shown include one Supplemental Monetary Award for \$1,337,042.

⁷ The dollars shown reflect payments issued to Settlement Class Members and on their behalf after all applicable deductions (e.g., Common Benefits Fees, lien holdbacks, potential rescission amounts for Third-Party Funders, etc.).

 $^{^{\}rm 8}$ Nine Alzheimer's Disease Supplemental Monetary Awards received payments totaling \$1,339,942.

⁹ Four Level 2.0 Supplemental Monetary Awards received payments totaling \$232,152.

¹⁰ The dollars shown include one Supplemental Derivative Claimant Monetary Award payment totaling \$725.