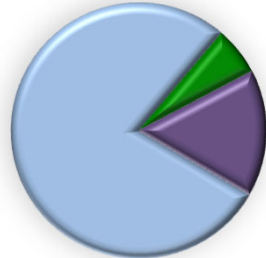


# NFL CONCUSSION SETTLEMENT

IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION  
No. 2:12-md-02323 (E.D. Pa.)

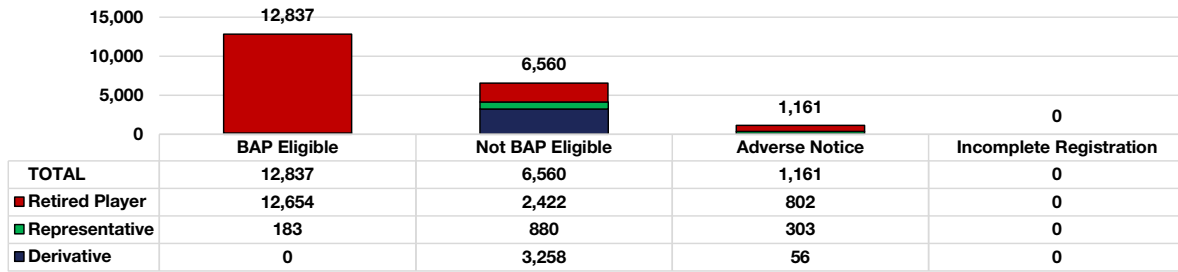
## NFL SETTLEMENT PROGRAM SUMMARY REPORT (As of 6/1/21)

### SECTION 1: TIMELY REGISTRATIONS TOTAL: 20,558 (17,244 RETIRED PLAYER AND REPRESENTATIVE CLAIMANTS)

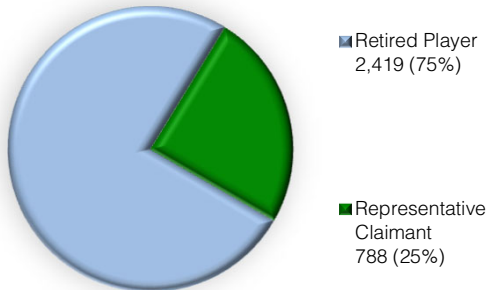


- Retired Player  
15,878 (77%)
- Representative Claimant  
1,366 (7%)
- Derivative Claimant  
3,314 (16%)

### SECTION 2: REGISTRATION NOTICES ISSUED BY TYPE TOTAL: 20,558

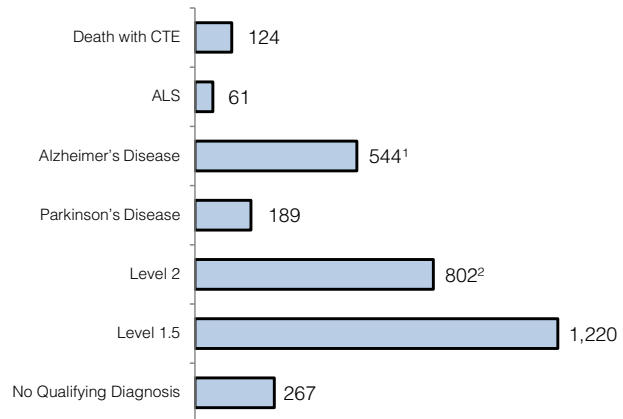


### SECTION 3: MONETARY AWARD CLAIMS SUBMITTED: 3,207<sup>1</sup>



<sup>1</sup>Total includes 22 Supplemental Monetary Award Claims submitted by Retired Players and two from Representative Claimants.

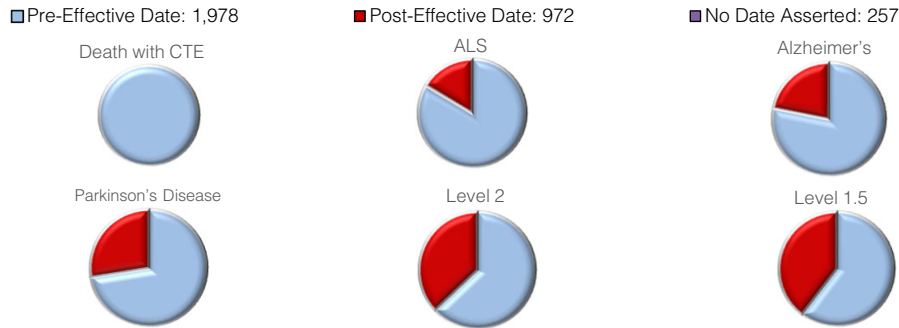
### SECTION 4: QUALIFYING DIAGNOSIS ASSERTED IN MONETARY AWARD CLAIMS



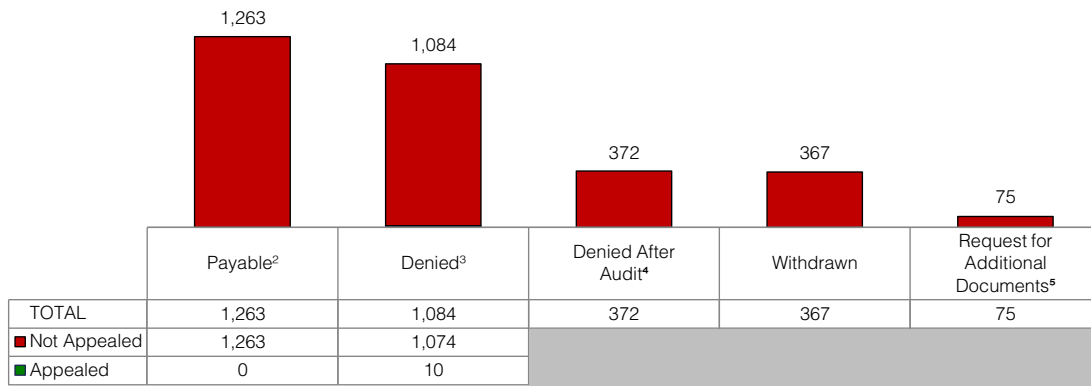
<sup>1</sup>Includes 20 Supplemental Monetary Award Claims.

<sup>2</sup>Includes four Supplemental Monetary Award Claims.

## SECTION 5: QUALIFYING DIAGNOSIS DATE ASSERTED IN MONETARY AWARD CLAIMS

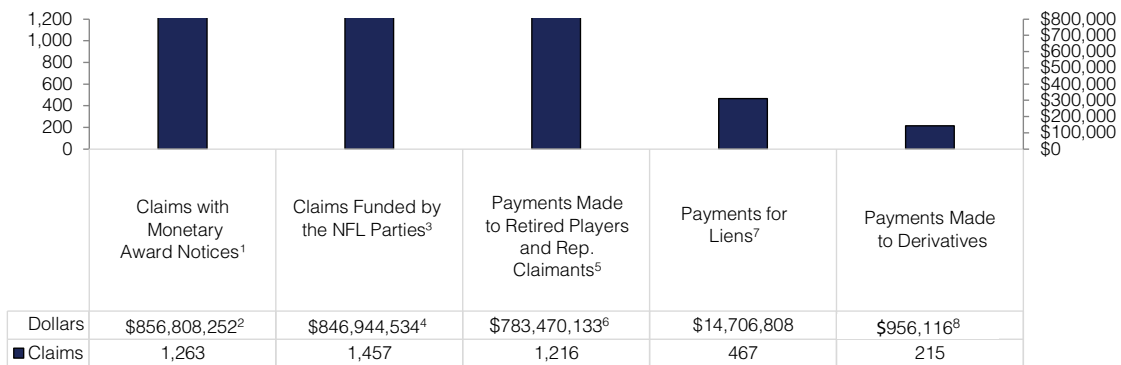


## SECTION 6: NOTICES ISSUED ON MONETARY AWARD CLAIMS: 3,161<sup>1</sup>



<sup>1</sup> Section 6 counts all Monetary Award claims that have received a notice after claim processing. Claims receiving multiple notices are counted only once based on the most recent notice issued. The number of appealed notices reflects only claims that have been appealed on the most recent notice issued. For a cumulative total of all appeals, see Section 9.  
<sup>2</sup> Includes 12 payable Supplemental Monetary Award Notices.  
<sup>3</sup> Includes three denied Supplemental Monetary Award Notices.  
<sup>4</sup> The results of the audit closed these claims and directed further alternatives for these Settlement Class Members.  
<sup>5</sup> Includes four Notices of Supplemental Request for Additional Documents.

## SECTION 7: PAYABLE CLAIMS SUMMARY



<sup>1</sup> The dollars and number of claims shown are the number of notices issued before holdbacks for potential Derivative Claimants, common benefit fees, liens and any determinations on appeals.  
<sup>2</sup> Amount includes 12 Supplemental Monetary Awards for \$1,891,022.  
<sup>3</sup> The dollars and number of claims shown include claims from Retired Players, Representative Claimants, and Derivative Claimants.  
<sup>4</sup> Claims Funded by NFL Parties includes 10 Supplemental Monetary Claims for \$1,548,639 and 216 Derivative Claims for \$1,007,325.  
<sup>5</sup> The dollars shown include payments issued on behalf of Settlement Class Members to Third-Party Funders who have accepted rescission of prohibited assignments entered into with Settlement Class Members.  
<sup>6</sup> Payments Made to Retired Players and Representative Claimants include nine Supplemental Monetary Claims for \$927,032.  
<sup>7</sup> The claims shown reflect the Settlement Class Members on whose behalf the Settlement Program has issued payments to resolve liens. The dollars shown reflect all payments the Settlement Program has made on behalf of Settlement Class Members to resolve liens, including (1) payments to the Lien Resolution Administrator to resolve medical liens, (2) payments to the Lien Resolution Administrator for its lien fees, and (3) payments directly to lienholders to resolve non-medical liens.  
<sup>8</sup> The dollars shown include Supplemental Derivative Claimant Award payments.

## SECTION 8

### STATUS OF MONETARY AWARD CLAIMS (BASED ON LAST NOTICE OR ACTION ON CLAIM)

		CTE	ALS	Alzheimer's Disease	Parkinson's Disease	Level 2	Level 1.5	No Qualifying Diagnosis	Total	%
1.	In Review Process at Claims Administrator	0	0	8	2	8	15	7	40	1%
2.	MAF Rule 20 Deviation Explanation Required	0	0	0	0	5	11	0	16	<1%
3.	Special Master Remanded Claim to Claims Administrator	0	0	0	0	0	2	0	2	<1%
4.	Notice Ready to Issue	0	0	8	0	4	10	0	22	<1%
5.	Needs Special Master Statute of Limitations Review	0	0	1	1	0	0	0	2	<1%
6.	Last Notice was for Incomplete Claim Package <sup>1</sup>	0	1	1	1	5	4	2	14	<1%
7.	Ready for Review by AAP	0	0	3	0	2	5	0	10	<1%
8.	Last Notice was Award Notice <sup>2</sup>	0	1	1	1	6	5	0	14	<1%
9.	Last Notice was Denial Notice <sup>3</sup>	0	0	2	0	3	4	0	9	<1%
10.	On Appeal Now <sup>4</sup>	0	0	0	0	7	3	0	10	<1%
11.	In Audit Investigation <sup>5</sup>	0	0	2	2	5	11	1	21	<1%
12.	In Audit-Failure to Provide Information	0	0	0	0	0	0	0	0	0%
13.	Referred to the Special Investigator by the Special Masters	0	0	0	0	0	0	0	0	0%
14.	Adverse Audit Report with the Parties for Review	0	0	0	0	0	0	0	0	0%
15.	Adverse Audit Report Awaiting Response from Those Audited	0	0	0	0	0	0	0	0	0%
16.	Adverse Audit Report with the Special Masters for Review	0	0	0	0	0	0	0	0	0%
17.	Denied After Audit <sup>6</sup>	0	0	38	0	150	182	2	372	12%
18.	Final Denial	41	3	77	11	247	451	234	1,064	33%
19.	Withdrawn	3	14	42	15	146	126	21	367	11%
20.	Ready for Next Month's Payment List	0	1	1	1	3	6	0	12	<1%
21.	On this Month's Payment List	0	0	3	3	4	6	0	16	<1%
22.	Paid <sup>7</sup>	80	41	357	152	207	379	0	1,216	38%
23.	<b>Total Claim Packages Submitted</b>	<b>124</b>	<b>61</b>	<b>544</b>	<b>189</b>	<b>802</b>	<b>1,220</b>	<b>267</b>	<b>3,207</b>	<b>100%</b>

1. The Program has issued notices to 2,151 incomplete claim packages, which is 71% of all Level 1.5 and Level 2 claims and 61% of all other claims.

2. For details on all claims receiving an Award Notice and the dollar values assigned, see Sections 7 and 11.

3. For details on all denied claims, including the denial reasons, see Section 10.

4. For details on all appeals filed by Settlement Class Members and the NFL parties, see Section 9.

5. The Program has audited 1,288, or 40%, of all claims, including 682 claims removed from audit and put back into the claims review process, 21 claims currently in Audit Investigation, 206 claims that were withdrawn while in audit, and 372 claims denied after audit.

6. The results of the audit denied these claims and directed further alternatives for these Settlement Class Members.

7. For total dollars paid to or on behalf of these Settlement Class Members, see Part 5 of Section 11.



# CONCUSSION SETTLEMENT

IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION  
No. 2:12-md-02323 (E.D. Pa.)

## SECTION 9 STATUS OF ALL APPEALS ON MONETARY AWARD CLAIMS

	Status <sup>1</sup>	Appealed by Class Member	Appealed by NFL Parties	Total
<b>A.</b>	<b>Payable Claims</b>	<b>67</b>	<b>142</b>	<b>209</b>
1.	Appeal Filed and in Preliminary Steps	0	0	0
2.	Appellee's Opposition Memo Not Received	0	0	0
3.	Appellee's Opposition Memo Received	0	0	0
4.	Remanded to Claims Administrator	27	9	36
5.	With Special Masters for Decision	0	0	0
6.	Result Upheld on Appeal	28	91	119
7.	Result Overturned on Appeal	6	24	30
8.	Closed or Withdrawn <sup>2</sup>	6	18	24
<b>B.</b>	<b>Denied Claims</b>	<b>388</b>	<b>0</b>	<b>388</b>
1.	Appeal Filed and in Preliminary Steps	2	0	2
2.	Appellee's Opposition Memo Not Received	4	0	4
3.	Appellee's Opposition Memo Received	1	0	1
4.	Remanded to Claims Administrator	133	0	133
5.	With Special Masters for Decision	3	0	3
6.	Result Upheld on Appeal	180	0	180
7.	Result Overturned on Appeal	9	0	9
8.	Closed or Withdrawn <sup>2</sup>	56	0	56
<b>C.</b>	<b>TOTAL APPEALS</b>	<b>455</b>	<b>142</b>	<b>597</b>

<sup>1</sup> Class Counsel has filed statements in 52 of the Appeals across multiple statuses in the Appeals Process.

<sup>2</sup> These are appeals we are no longer processing because (1) the appealing party withdrew or (2) it was closed after being in audit.

**NFL****CONCUSSION SETTLEMENT**IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION  
No. 2:12-md-02323 (E.D. Pa.)**Section 10****Reasons in Notices of Denial Issued on Monetary Award Claims**

	<b>Reason</b>	<b>Notices*</b>
<b>1.</b>	<b>Death with CTE Claims</b>	<b>41</b>
	(a) Claim Denied by Special Master	26
	(b) Death with CTE Denial - Death After Final Approval	8
	(c) Incomplete Claim Package	6
	(d) Complete Claim Package Not Provided	3
	(e) Medical Records Do Not Support Claimed Qualifying Diagnosis	1
	(f) Death with CTE Denial - Death Before 7/7/14 and QD After Final Approval	1
<b>2.</b>	<b>ALS Claims</b>	<b>3</b>
	(a) Incomplete Claim Package	3
	(b) Claim Denied by Special Master	1
<b>3.</b>	<b>Alzheimer's Disease Claims</b>	<b>79</b>
	(a) Appeals Advisory Panel Denial - Qualifying Diagnosis	41
	(b) Incomplete Claim Package	17
	(c) Claim Denied by Special Master	11
	(d) Appeals Advisory Panel Denial - Inappropriate Physician	6
	(e) Complete Claim Package Not Provided	6
	(f) Medical Records Do Not Support Claimed Qualifying Diagnosis	3
	(f) Duplicate of Previous Claim	3
	(g) Appeals Advisory Panel Denial - MAF Oversight	3
	(i) No Qualifying Diagnosis	2
	(j) Disqualified Physician	1
	(k) Incomplete HIPAA Form	1
	(l) Diagnosis Not Later In Time	1
<b>4.</b>	<b>Parkinson's Disease Claims</b>	<b>11</b>
	(a) Appeals Advisory Panel Denial - Qualifying Diagnosis	6
	(b) Appeals Advisory Panel Denial - Inappropriate Physician	4
	(c) Incomplete Diagnosing Physician Certification Form	2
	(d) No Qualifying Diagnosis	1

**NFL****CONCUSSION SETTLEMENT**IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION  
No. 2:12-md-02323 (E.D. Pa.)**Section 10****Reasons in Notices of Denial Issued on Monetary Award Claims**

	<b>Reason</b>	<b>Notices*</b>
	(e) Claim Denied by Special Master	1
	(f) Appeals Advisory Panel Denial - MAF Oversight	1
<b>5.</b>	<b>Level 2 Claims</b>	<b>257</b>
	(a) Appeals Advisory Panel Denial - Qualifying Diagnosis	86
	(b) Claim Denied by Special Master	67
	(c) Appeals Advisory Panel Denial - MAF Oversight	36
	(d) Incomplete Claim Package	24
	(e) Complete Claim Package Not Provided	19
	(f) Disqualified Physician	17
	(g) Medical Records Do Not Support Claimed Qualifying Diagnosis	10
	(h) Appeals Advisory Panel Denial - Inappropriate Physician	10
	(i) Incomplete Diagnosing Physician Certification Form	9
	(j) Incomplete Claim Form	7
	(k) Appeals Advisory Panel Denial - Special Assignment	6
	(l) No Qualifying Diagnosis	5
	(m) BAP Diagnosis of No Neurocognitive Impairment	1
	(n) Disqualified Neuropsychologist	1
<b>6.</b>	<b>Level 1.5 Claims</b>	<b>459</b>
	(a) Appeals Advisory Panel Denial - Qualifying Diagnosis	222
	(b) Claim Denied by Special Master	86
	(c) Medical Records Do Not Support Claimed Qualifying Diagnosis	67
	(d) Appeals Advisory Panel Denial - MAF Oversight	38
	(e) Complete Claim Package Not Provided	36
	(f) Incomplete Claim Package	35
	(g) Appeals Advisory Panel Denial - Inappropriate Physician	26
	(h) No Qualifying Diagnosis	19
	(i) Incomplete Diagnosing Physician Certification Form	11
	(j) Disqualified Physician	11
	(k) Appeals Advisory Panel Denial - Special Assignment	9

**NFL****CONCUSSION SETTLEMENT**IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION  
No. 2:12-md-02323 (E.D. Pa.)**Section 10****Reasons in Notices of Denial Issued on Monetary Award Claims**

	<b>Reason</b>	<b>Notices*</b>
	(l) Incomplete Claim Form	3
	(m) Disqualified Neuropsychologist	3
<b>7.</b>	<b>No Qualifying Diagnosis - Complete Claim Package Not Provided</b>	<b>234</b>
	(a) Incomplete Claim Package	347
	(b) Incomplete Claim Form	269
	(c) Complete Claim Package Not Provided	26
	(d) Incomplete Diagnosing Physician Certification Form	12
	(e) BAP Diagnosis of No Neurocognitive Impairment	11
	(f) Medical Records Do Not Support Claimed Qualifying Diagnosis	10
	(g) Claim Denied by Special Master	8
	(h) Not Registered	7
	(i) No Qualifying Diagnosis	5
	(j) Untimely Claim Package	3
	(k) Incomplete HIPAA Form	2
	(l) Death with CTE Denial - Death After Final Approval	2
<b>8.</b>	<b>TOTALS</b>	<b>1,084</b>
*Claims receiving a Denial Notice with multiple Denial Reasons are counted once for each reason in the sub rows of Section 9		

## SECTION 11

## MONETARY AWARD PAYMENTS

	Status by Confirmed Qualifying Diagnosis	Number	Amount
1.	<b>Notices of Monetary Award (Dollars Shown are Before Holdbacks)</b>	<b>1,263</b>	<b>\$856,808,252</b>
	(a) Death with CTE	80	\$93,248,944
	(b) ALS	43	\$107,243,459
	(c) Alzheimer's Disease <sup>1</sup>	362	\$164,283,599
	(d) Parkinson's Disease	157	\$111,383,161
	(e) Level 2.0 Neurocognitive Impairment <sup>2</sup>	220	\$162,451,677
	(f) Level 1.5 Neurocognitive Impairment	401	\$218,197,413
2.	<b>Not Ready to be Included on the Next Monthly Payment List; or a Claim Hold is in Place (Dollars Shown are Before Holdbacks)</b>	<b>19</b>	<b>\$13,709,968</b>
	(a) Death with CTE	0	\$0
	(b) ALS	1	\$3,344,626
	(c) Alzheimer's Disease <sup>3</sup>	1	\$215,012
	(d) Parkinson's Disease	1	\$51,035
	(e) Level 2.0 Neurocognitive Impairment <sup>4</sup>	6	\$3,764,625
	(f) Level 1.5 Neurocognitive Impairment	10	\$6,334,670
3.	<b>Ready to be Included on the Next Monthly Payment List (Dollars Shown are Before Holdbacks)</b>	<b>12</b>	<b>\$6,701,443</b>
	(a) Death with CTE	0	\$0
	(b) ALS	1	\$1,240,748
	(c) Alzheimer's Disease	1	\$14,134
	(d) Parkinson's Disease	1	\$975,336
	(e) Level 2.0 Neurocognitive Impairment	3	\$551,324
	(f) Level 1.5 Neurocognitive Impairment	6	\$3,919,902
4.	<b>Claims in the Payment Process (Dollars Shown are After Holdbacks)</b>	<b>16</b>	<b>\$5,491,229</b>
	(a) Death with CTE	0	\$0
	(b) ALS	0	\$0
	(c) Alzheimer's Disease <sup>5</sup>	3	\$225,657
	(d) Parkinson's Disease	3	\$2,482,575
	(e) Level 2.0 Neurocognitive Impairment	4	\$982,889
	(f) Level 1.5 Neurocognitive Impairment	6	\$1,800,108
5.	<b>Paid Claims/Paid to or on Behalf of Class Members or to Lien Holders<sup>6</sup></b>	<b>1,431</b>	<b>\$784,426,249</b>
	(a) Death with CTE	80	\$89,168,699
	(b) ALS	41	\$97,101,622
	(c) Alzheimer's Disease <sup>7</sup>	357	\$154,767,372
	(d) Parkinson's Disease	152	\$98,881,996
	(e) Level 2.0 Neurocognitive Impairment <sup>8</sup>	207	\$147,905,838
	(f) Level 1.5 Neurocognitive Impairment	379	\$195,644,606
	(g) Derivative Claimants <sup>9</sup>	215	\$956,116

<sup>1</sup> The claims and dollars shown include nine Supplemental Monetary Awards for \$1,628,972.

<sup>2</sup> The claims and dollars shown include three Supplemental Monetary Award for \$262,051.

<sup>3</sup> The claims and dollars shown include one Supplemental Monetary Award for \$215,012.

<sup>4</sup> The claims and dollars shown include one Supplemental Monetary Award for \$123,412.

<sup>5</sup> The claims and dollars shown include one Supplemental Monetary Award for \$211,326.

<sup>6</sup> The dollars shown reflect payments issued to Settlement Class Members and on their behalf after all applicable deductions (e.g., Common Benefits Fees, lien holdbacks, potential rescission amounts for Third-Party Funders, etc.).

<sup>7</sup> Seven Alzheimer's Disease Supplemental Monetary Awards received payments totaling \$822,238.

<sup>8</sup> Two Level 2.0 Supplemental Monetary Awards received payments totaling \$104,794.

<sup>9</sup> The dollars shown include one Supplemental Derivative Claimant Monetary Award payment totaling \$725.