

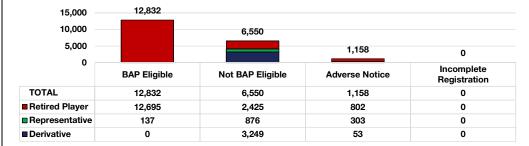
### NFL SETTLEMENT PROGRAM SUMMARY REPORT (AS OF 7/1/19)

**SECTION 1: TIMELY REGISTRATIONS** TOTAL: 20,540

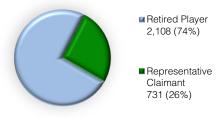


- Retired Player 15,922 (78%)
- Representative Claimant 1,316 (6%)
- **Derivative Claimant** 3,302 (16%)

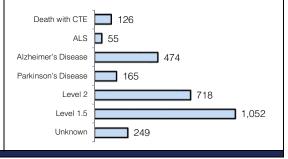
### SECTION 2: REGISTRATION NOTICES ISSUED BY TYPE TOTAL: 20,540



#### **SECTION 3: MONETARY AWARD CLAIMS SUBMITTED: 2,839**



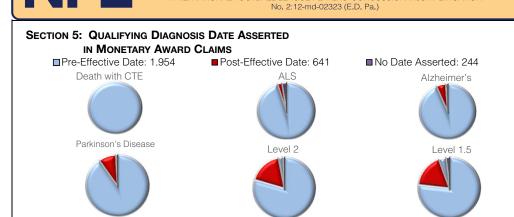
#### **SECTION 4: QUALIFYING DIAGNOSIS ASSERTED IN MONETARY AWARD CLAIMS**

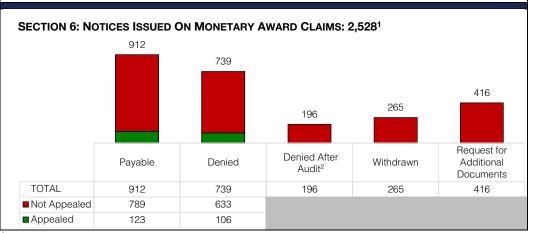


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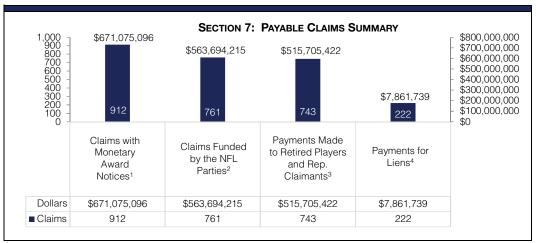
### CONCUSSION SETTLEMENT IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION





<sup>1</sup> Section 6 counts all Monetary Award claims that have received a notice after claim processing. Claims receiving multiple notices are counted only once based on the most recent notice issued. The number of appealed notices reflects only claims that have been appealed on the most recent notice issued. For a cumulative total of all appeals, see Section 9.

<sup>&</sup>lt;sup>2</sup> The results of the audit closed these claims and directed further alternatives for these Settlement Class Members.



<sup>&</sup>lt;sup>1</sup>The dollars and number of claims shown are the number of notices issued before holdbacks for potential Derivative Claimants, common benefit fees, liens and any determinations on appeals.

<sup>&</sup>lt;sup>2</sup> The dollars and number of claims shown include claims from Retired Players and Representative Claimants.

<sup>&</sup>lt;sup>3</sup>The dollars shown include payments issued on behalf of Settlement Class Members to Third-Party Funders who have accepted rescission of prohibited assignments entered into with Settlement Class Members.

<sup>&</sup>lt;sup>4</sup>The claims shown reflect the Settlement Class Members on whose behalf the Settlement Program has issued payments to resolve liens. The dollars shown reflect all payments the Settlement Program has made on behalf of Settlement Class Members to resolve liens, including (1) payments to the Lien Resolution Administrator to resolve medical liens, (2) payments to the Lien Resolution Administrator for its lien fees, and (3) payments directly to lienholders to resolve non-medical liens.



### CONCUSSION SETTLEMENT IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION

No. 2:12-md-02323 (E.D. Pa.)

SECTION 8 STATUS OF MONETARY AWARD CLAIMS (BASED ON LAST NOTICE OR ACTION ON CLAIM)											
			CTE	ALS	Alzheimer's Disease	Parkinson's Disease	Level 2	Level 1.5	Unknown Qualifying Diagnosis	Total	%
1.	In Review Pr Administrate	rocess at Claims or	1	0	4	1	13	7	11	37	1%
2.	Notice Read	ly to Issue	2	0	10	4	60	94	5	175	6%
3.		Needs Special Master Statute of Limitations Review		14	26	13	5	10	0	71	3%
4.	Last Notice Claim Packa	was for Incomplete age <sup>1</sup>	3	0	11	4	35	37	25	115	4%
5.	Ready for R	eview by AAP	1	1	15	2	52	83	1	155	5%
6.	Last Notice	was Award Notice <sup>2</sup>	1	0	14	6	13	21	0	55	2%
7.	Last Notice	was Denial Notice <sup>3</sup>	23	1	6	1	9	23	58	121	4%
8.	On Appeal I	Now <sup>4</sup>	1	0	7	0	8	10	2	28	<1%
9.	In Audit Inve	estigation <sup>5</sup>	0	0	4	2	6	14	0	26	<1%
10.	In Audit-Fail Information	ure to Provide	0	0	0	0	0	0	0	0	0%
11.	Referred to Investigator Masters	the Special by the Special	0	0	2	0	75	100	1	178	6%
12.	Adverse Aud Parties for R	dit Report with the eview	0	0	0	0	0	0	0	0	0%
13.		dit Report Awaiting om Those Audited	0	0	0	0	0	0	0	0	0%
14.		dit Report with the sters for Review	0	0	0	0	1	1	0	2	<1%
15.	Denied After	r Audit <sup>6</sup>	0	0	34	0	76	85	1	196	7%
16.	Final Denial		15	2	58	9	128	265	127	604	21%
17.	Withdrawn		0	0	15	3	126	103	18	265	9%
18.	Ready for N List	ext Month's Payment	1	1	6	2	4	18	0	32	1%
19.	On this Mon	th's Payment List	1	0	8	3	14	10	0	36	1%
20.	Paid <sup>7</sup>		74	36	254	115	93	171	0	743	26%
21.		Claim Packages Submitted	126	55	474	165	718	1,052	249	2,839	100%

<sup>1.</sup> The Program has issued notices to 1,903 incomplete claim packages, which is 68% of all Level 1.5 and Level 2 claims and 68% of all other

<sup>2.</sup> For details on all claims receiving an Award Notice and the dollar values assigned, see Sections 7 and 11.

<sup>3.</sup> For details on all denied claims, including the denial reasons, see Section 10.

<sup>4.</sup> For details on all appeals filed by Settlement Class Members and the NFL parties, see Section 9.

<sup>5.</sup> The Program has audited 1,211, or 43%, of all claims, including 761 claims removed from audit and put back into the claims review process, 204 claims currently in Audit Investigation, 2 claims in Adverse Audit Reports with the Parties or Special Masters for Review or awaiting a response from those audited, 48 claims that were withdrawn while in audit, and 196 claims denied after audit.

<sup>6.</sup> The results of the audit denied these claims and directed further alternatives for these Settlement Class Members.

<sup>7.</sup> For total dollars paid to or on behalf of these Settlement Class Members, see Part 5 of Section 11.



## CONCUSSION SETTLEMENT IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION No. 2:12-md-02323 (E.D. Pa.)

SECTION 9 STATUS OF ALL APPEALS ON MONETARY AWARD CLAIMS						
	Status <sup>1</sup>	Appealed by Class Member	Appealed by NFL Parties	Total		
A.	Payable Claims	40	117	157		
1.	Appeal Filed and in Preliminary Steps	0	1	1		
2.	Appellee's Opposition Memo Not Received	1	1	2		
3.	Appellee's Opposition Memo Received	2	3	5		
4.	Remanded to Claims Administrator	9	1	10		
5.	With Special Masters for Decision	2	9	11		
6.	Result Upheld on Appeal	19	78	97		
7.	Result Overturned on Appeal	3	10	13		
8.	Closed or Withdrawn <sup>2</sup>	4	14	18		
B.	Denied Claims	202	0	202		
1.	Appeal Filed and in Preliminary Steps	4	0	4		
2.	Appellee's Opposition Memo Not Received	4	0	4		
3.	Appellee's Opposition Memo Received	0	0	0		
4.	Remanded to Claims Administrator	79	0	79		
5.	With Special Masters for Decision	4	0	4		
6.	Result Upheld on Appeal	78	0	78		
7.	Result Overturned on Appeal	6	0	6		
8.	Closed or Withdrawn <sup>2</sup>	27	0	27		
C.	TOTAL APPEALS	242	117	359		

<sup>&</sup>lt;sup>1</sup> Class Counsel has filed statements in 36 of the Appeals across multiple statuses in the Appeals Process.

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<sup>&</sup>lt;sup>2</sup> These are appeals we are no longer processing because (1) the appealing party withdrew or (2) it was closed after being in audit.

## CONCUSSION SETTLEMENT IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION No. 2:12-md-02323 (E.D. Pa.)

SECTIO	IN 10 REASONS IN NOTICES OF DENIAL ISSUED ON MONETARY A  Reason Claim Denied	Notices
1. C	Death with CTE Claims	39
	a) Death occurred after Final Approval	8
	b) Complete Claim Package Not Provided	27
	c) Special Master Denial	5
2. A	ALS Claims	3
(6	a) Special Master Denial	1
(I	b) Complete Claim Package Not Provided	2
3. A	Alzheimer's Disease Claims	66
(8	a) Appeals Advisory Panel Denial - Qualifying Diagnosis	41
(1	b) Appeals Advisory Panel Denial - Inappropriate Physician	12
(0	c) Special Master Denial	8
(0	d) Appeals Advisory Panel Denial - Inappropriate Physician	7
(6	e) Duplicate Claim Alleging Same Qualifying Diagnosis	2
(1	f) Disqualified Physician	1
4. P	arkinson's Disease Claims	10
(6	a) Appeals Advisory Panel Denial - Qualifying Diagnosis	6
(i	b) Appeals Advisory Panel Denial - Inappropriate Physician	4
(0	c) Special Master Denial	1
(0	d) Complete Claim Package Not Provided	1
5. L	evel 2 Claims	141
(8	a) Appeals Advisory Panel Denial - Qualifying Diagnosis	64
(1	b) Special Master Denial	28
(0	c) Complete Claim Package Not Provided	29
(0	d) Physician Disqualified by Special Master	17
(6	e) Appeals Advisory Panel Denial - Inappropriate Physician	6
(1	f) Disqualified Neuropsychologist	1
(1	f) Appeals Advisory Panel Denial - MAF Oversight	1
6. L	evel 1.5 Claims	293
(6	a) Appeals Advisory Panel Denial - Qualifying Diagnosis	166
(1	b) Complete Claim Package Not Provided	66
(0	c) Special Master Denial	41
(0	d) Appeals Advisory Panel Denial - Inappropriate Physician	17
(6	e) Disqualified Physician	11
(1	f) Appeals Advisory Panel Denial - MAF Oversight	3
(9	g) Disqualified Neuropsychologist	2
7. L	Inconfirmed QD - Complete Claim Package Not Provided	187
(8	a) Complete Claim Package Not Provided	181
(1	b) Special Master Denial	3
(0	c) Untimely Claim Package	3
8.	TOTAL DENIAL REASONS PROVIDED ON NOTICES	767
9.	TOTAL NUMBER OF SETTLEMENT CLASS MEMBERS RECEIVING A DENIAL NOTICE	739



# CONCUSSION SETTLEMENT IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION No. 2:12-md-02323 (E.D. Pa.)

SE	SECTION 11 MONETARY AWARD PAYMENTS						
	Status by Confirmed Qualifying Diagnosis	Number	Amount				
1.	Notices of Monetary Award (Dollars Shown are Before Holdbacks)	912	\$671,075,096				
	(a) Death with CTE	77	\$92,822,506				
	(b) ALS	37	\$98,187,130				
	(c) Alzheimer's Disease	287	\$134,084,851				
	(d) Parkinson's Disease	128	\$79,573,112				
	(e) Level 2.0 Neurocognitive Impairment	140	\$136,760,866				
	(f) Level 1.5 Neurocognitive Impairment	243	\$129,646,633				
2.	Not Ready to be Included on the Next Monthly Payment List; or a Claim Hold is in Place (Dollars Shown are Before Holdbacks)	101	\$91,333,211				
	(a) Death with CTE	1	\$41,604				
	(b) ALS	0	\$0				
	(c) Alzheimer's Disease	19	\$9,933,122				
	(d) Parkinson's Disease	8	\$4,566,602				
	(e) Level 2.0 Neurocognitive Impairment	29	\$47,355,815				
	(f) Level 1.5 Neurocognitive Impairment	44	\$29,436,068				
3.	Ready to be Included on the Next Monthly Payment List (Dollars Shown are Before Holdbacks)	32	\$13,421,055				
	(a) Death with CTE	1	\$120,651				
	(b) ALS	1	\$4,160,374				
	(c) Alzheimer's Disease	6	\$4,238,589				
	(d) Parkinson's Disease	2	\$229,653				
	(e) Level 2.0 Neurocognitive Impairment	4	\$836,235				
	(f) Level 1.5 Neurocognitive Impairment	18	\$3,835,553				
4.	Claims in the Payment Process (Dollars Shown are After Holdbacks)	36	\$19,792,691				
	(a) Death with CTE	1	\$31,203				
	(b) ALS	0	\$0				
	(c) Alzheimer's Disease	8	\$2,815,665				
	(d) Parkinson's Disease	3	\$82,636				
	(e) Level 2.0 Neurocognitive Impairment	14	\$11,024,380				
	(f) Level 1.5 Neurocognitive Impairment	10	\$5,838,807				
5.	Paid Claims/Paid to or on Behalf of Class Members or to Lien Holders <sup>1</sup>	915	\$516,469,342				
	(a) Death with CTE	74	\$88,567,052				
	(b) ALS	36	\$87,950,862				
	(c) Alzheimer's Disease	254	\$111,434,816				
	(d) Parkinson's Disease	115	\$70,469,942				
	(e) Level 2.0 Neurocognitive Impairment	93	\$72,378,092				
	(f) Level 1.5 Neurocognitive Impairment	171	\$84,904,659				
	(g) Derivative Claimants	172	\$763,919				

<sup>&</sup>lt;sup>1</sup> The dollars shown reflect payments issued to Settlement Class Members and on their behalf after all applicable deductions (e.g., Common Benefits Fees, lien holdbacks, potential rescission amounts for Third-Party Funders, etc.).