



CONCUSSION SETTLEMENT

IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION
No. 2:12-md-02323 (E.D. Pa.)

SWS-5(B)

SWORN STATEMENT: STATUS OF ASSIGNMENT OF MONETARY CLAIM NOT INVOLVING RESOLUTION PROTOCOL

As explained in the Rules Governing Payment of Claims Involving Third Party Funders (the "Payment Rules"), if you are receiving this Form, it means that your claim for a monetary award payment under the Concussion Settlement has received a Final Decision. This means that either (1) the window for appealing your claim has closed and no parties filed an appeal, or (2) any appeals of your claim that *were* filed have been resolved.

This Form asks you whether you have entered into any loan, assignment, or attempted assignment with any Third-Party Funders related to your monetary award (a "Third-Party Funder Transaction"). If you answer "yes," the Claims Administrator will pay any monetary award you receive directly to you, the Class Member, rather than to your lawyer, subject to all applicable liens and deductions. If you answer "no," the Claims Administrator will pay any monetary award you receive to your lawyer, to be passed along to you.

Nothing in the Payment Rules or this Form addresses whether the underlying agreements between you and any Third-Party Funders are enforceable or valid. Any dispute over the enforceability or validity of these agreements must be litigated or arbitrated in an appropriate forum outside of the claims administration context, subject to "the full array of standard contract defenses" available. See *In re Nat'l Football League Players' Concussion Injury Litig.*, 923 F.3d 96, 112-13 (3d Cir. 2019).

In order to receive payment, you must complete and sign this Form. **This Form must be signed by you, the Class Member.** Of course, you may consult with your lawyer while completing this Form. If you do so, your lawyer must also sign this form. The spaces for signature are in Section III below.

I. SETTLEMENT CLASS MEMBER INFORMATION

Settlement Program ID			
Name	First	M.I.	Last
Settlement Class Member Type			
Lawyer			
Law Firm			

II. DISCLOSURE OF NON-PARTICIPATING FUNDERS

Have you entered into any loan, assignment, or attempted assignment with any third-party entities relating to your monetary claim?

☐ NO

☐ YES

If you answered "Yes," please identify any such loans, assignments, or attempted assignments in the spaces provided below, to the best of your ability. Please also provide the Claims Administrator with all documents relevant to the assignments or attempted assignments you identified.

	Name of Funder	Principal Amount	Interest Rate	Date Agreement Executed
1.				
2.				
3.				
4.				
5.				

Under the Payment Rules, if you answered "yes" in the space above, the Claims Administrator will pay any monetary award you receive directly to you, rather than to your lawyer, subject to all applicable liens and other deductions. If you answered "no," the Claims Administrator will pay any monetary award you receive to your lawyer, to be passed along to you, subject to all applicable liens and other deductions.

The fact that payment is made directly to you, rather than your lawyer, has no bearing whatsoever on your legal obligations. For instance, you might be sued by a Third-Party Funder if you have not resolved all obligations under any agreements with them. If you receive direct payment, you SHOULD NOT assume that this payment means that your lawyer has paid off such obligations to any such Third-Party Funders.

III. SIGNATURE

This Sworn Statement is an official document submitted in connection with the Class Action Settlement in *In re: National Football League Players' Concussion Injury Litigation*, MDL No. 2323. **By signing below, you declare under penalty of perjury, pursuant to 28 U.S.C. § 1746, that all information provided in this Sworn Statement is true and correct to the best of your knowledge, information and belief.**

If an attorney has helped a Settlement Class Member fill out this Form, the attorney must also sign this form. **By signing the form, the attorney also declares under penalty of perjury, pursuant to 28 U.S.C. § 1746, that all information in this Sworn Statement is true and correct to the best of the attorney's knowledge, information, and belief.**

Signature by Settlement Class Member			Date	
Printed Name of Settlement Class Member	First	M.I.	Last	
Signature by Attorney			Date	
Printed Name of Attorney	First	M.I.	Last	

IV. HOW TO SUBMIT THIS SWORN STATEMENT

Complete this Sworn Statement fully, sign it and submit it to the Claims Administrator. You may submit this Sworn Statement using one of these methods:

By Online Portal:	Go to your secure online portal with the Claims Administrator and upload this signed PDF.
By Email:	ClaimsAdministrator@NFLConcussionSettlement.com
By Mail:	NFL Concussion Settlement Claims Administrator P.O. Box 25369 Richmond, VA 23260
By Delivery:	NFL Concussion Settlement c/o BrownGreer PLC 250 Rocketts Way Richmond, VA 23231